FINANCIAL PLANNING DOCUMENTS CHECKLIST

Please upload documents to the Vault in Wealth Vision

MARATHON
FINANCIAL STRATEGIES

Income, Expenses, Taxes

☐ Paycheck stubs: recent and 12/31

☐ Tax Return

☐ Social Security Statements (visit www.ssa.gov to request one)

☐ Cash flow worksheet with estimated income and expenses (this will be done midway through the planning process)

Account Statements

☐ Bank, Money Market, CD statements, other savings

☐ Investment account statements: Brokerage, Mutual Funds, Annuities, etc.

☐ Retirement account statements: 401(k), 403(b), IRA, Roth IRA, Pension, Profit Sharing, Keogh, etc.

☐ Executive Compensation, Stock Option, Deferred Compensation statements

☐ Children's account statements (Education- 529 plans, UGMA, UTMA)

Property and Loans

☐ Mortgage statements

☐ Loan statements: Auto, credit cards, consumer loans, lines of credit, etc.

Estate and Legal

☐ Will and other pertinent estate planning documents such as power of attorney, trust documents, etc.

☐ Other matters that will affect the planning process, such as anticipated inheritances, pending or existing lawsuits, pre/post nuptial agreements, anticipated divorce, etc.

Insurance

☐ Benefits summary information from your employer outlining insurance and other benefits (you can contact your HR department to get one if you do not have one)

☐ Property, Casualty, and Liability (home, auto, umbrella, etc.) statements from your insurance company

☐ Recent statements on all life, disability, and long-term care insurance policies (outside of group plans at work)

Business

☐ Financial Statements

☐ Tax Return

☐ Bank Statement

☐ Loan Statements

☐ Buy-Sell Agreements

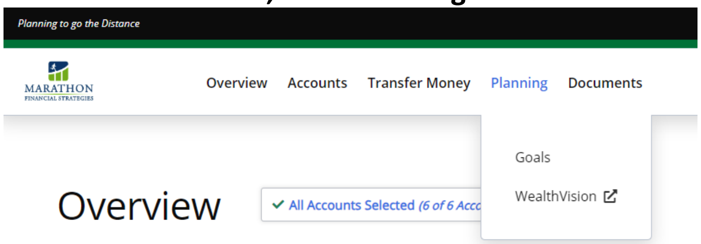
☐ Deferred Compensation Agreements

☐ Split Dollar Agreements

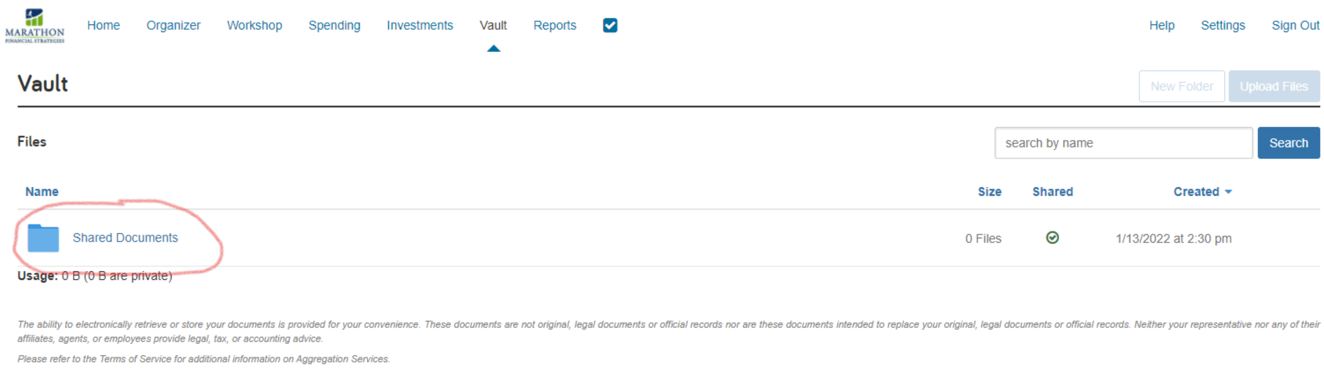
HOW TO UPLOAD FILES TO WEALTHVISION VAULT

1. **Log into Account View (myaccountviewonline.com). You should have received an email from LPL with login instructions. Contact us with any questions.**

2. **In Account View, Click Planning > WealthVision.**



3. **Click Vault > Shared Documents**



4. **Click Upload and upload your files**